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|  |                      |                        |           |
|--|----------------------|------------------------|-----------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application No.      | 10/801,393             |           |
|  | Filing Date          | March 15, 2004         |           |
|  | First Named Inventor | John B. Geagan III     |           |
|  | Art Unit             | Unknown                |           |
|  | Examiner Name        | Unknown                |           |
| Total Number of Pages in This Submission   | 6                    | Attorney Docket Number | 3892P006D |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> PTO/SB/08<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Basic Filing Fee<br><input type="checkbox"/> Declaration/POA<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Sixteen (16) References Cited; Postcard.</div> |
| Remarks  |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Sanjeet K. Dutta, Reg. No. 46,145<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature                                  | <i>Sanjeet Dutta</i>  |
| Date                                       | May 13, 2004  |

| CERTIFICATE OF MAILING/TRANSMISSION   |                     |      |              |
|---|---------------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |                     |      |              |
| Typed or printed name   | Geneva Walls        |      |              |
| Signature   | <i>Geneva Walls</i> | Date | May 13, 2004 |



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/801,393         |
| Filing Date          | March 15, 2004     |
| First Named Inventor | John B. Geagan III |
| Examiner Name        | Unknown            |
| Art Unit             | Unknown            |
| Attorney Docket No.  | 3892P006D          |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None

☒ Deposit Account

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |          |
| 1002         | 340      | 2002         | 170      | Design filing fee      |          |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |          |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$)     |

### 2. EXTRA CLAIM FEES

Total Claims  - 20\* =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |          |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                         |          |
| 1203         | 290      | 2203         | 145      | Multiple Dependent claim, if not paid                     |          |
| 1204         | 86       | 2204         | 43       | **Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |          |              |          |   | (\$)     |

\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                       |          | Small Entity |          | Fee Description  | Fee Paid |
|------------------------------------|----------|--------------|----------|--|----------|
| Fee Code                           | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                               | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052                               | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 2053                               | 130      | 2053         | 130      | Non-English specification  |          |
| 1812                               | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804                               | 920 *    | 1804         | 920 *    | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                               | 1,840 *  | 1805         | 1,840 *  | Requesting publication of SIR after Examiner action                        |          |
| 1251                               | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252                               | 420      | 2252         | 210      | Extension for reply within second month                                    |          |
| 1253                               | 950      | 2253         | 475      | Extension for reply within third month                                     |          |
| 1254                               | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |          |
| 1255                               | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |          |
| 1404                               | 330      | 2401         | 165      | Notice of Appeal   |          |
| 1402                               | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |          |
| 1403                               | 290      | 2403         | 145      | Request for oral hearing   |          |
| 1451                               | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                               | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453                               | 1,330    | 2453         | 665      | Petition to revive - unintentional   |          |
| 1501                               | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |          |
| 1502                               | 480      | 2502         | 240      | Design issue fee   |          |
| 1503                               | 640      | 2503         | 320      | Plant issue fee  |          |
| 1460                               | 130      | 2460         | 130      | Petitions to the Commissioner  |          |
| 1807                               | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                               | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021                               | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                               | 770      | 1809         | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                               | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                               | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802                               | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify)                |          |              |          |  |          |
| * Reduced by Basic Filing Fee Paid |          |              |          |  |          |
| SUBTOTAL (3)                       |          |              |          |  | (\$)     |

## SUBMITTED BY

## Complete (if applicable)

|                   |                  |                                   |          |           |                |
|-------------------|------------------|-----------------------------------|----------|-----------|----------------|
| Name (Print/Type) | Sanjeet K. Dutta | Registration No. (Attorney/Agent) | 46,145   | Telephone | (408) 947-8200 |
| Signature         | Sanjeet Dutta    | Date                              | 05/13/04 |           |                |

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (w/r) 02/10/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

JOHN B. GEAGAN III, ET AL.

Application No.: 10/801,393

Filed: March 15, 2004

For: **Method For Real Time Protocol Media  
Recording**

Art Group: Unknown

Examiner: Unknown

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/330,318, filed June 10, 1999) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated December 19, 2000 (copy enclosed herewith) in a counterpart PCT application, which was forwarded to Applicant's Representative in a communication dated December 19, 2000.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

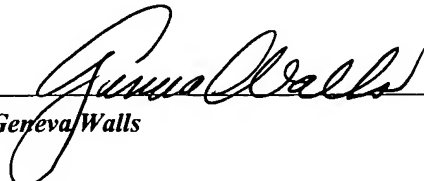
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 13, 2004

  
Sanjeet K. Dutta, Reg. No. 46,145

12400 Wilshire Boulevard, 7th Floor  
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05-13-04

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number 10/801393

Filing Date March 15, 2004

First Named Inventor III, John

Group Art Unit Unknown

Examiner Name Unknown

Sheet 1 of 1

Attorney Docket No: 3892P006D

**US PATENT DOCUMENTS**

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|-------|----------|----------------------------|
|                    | US-5,515,380        | 05/07/1996       | Giger, Adolf J.                                 |       |          | 03/28/1995                 |
|                    | US-5,539,749        | 07/23/1996       | Eul, Hermann-Josef                              |       |          | 07/20/1994                 |
|                    | US-5,768,528        | 06/16/1998       | Stumm, Christian                                |       |          | 05/24/1996                 |
|                    | US-5,991,306        | 11/23/1999       | Burns, Gregory, et al.                          |       |          | 08/26/1996                 |
|                    | US-6,263,371        | 07/17/2001       | Geagan, John B., et al.                         |       |          | 06/10/1999                 |
|                    | US-6,286,058        | 09/04/2001       | Hrastar, Scott E., et al.                       |       |          | 04/14/1997                 |
|                    | US-6,389,473        | 05/14/2002       | Carmel, Sharon                                  |       |          | 05/24/1999                 |
|                    | US-6,442,547        | 08/27/2002       | Bowman-Amuah, Michel K.                         |       |          | 06/02/1999                 |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T <sup>2</sup> |
|--------------------|---------------------|------------------|---|-------|----------|----------------|
|--------------------|---------------------|------------------|---|-------|----------|----------------|

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
|                    |                      | CHINEN, KEN-ICHI, et al., "An Interactive Prefetching Proxy Server For Improvement of WWW Latency", <u>Proceeding of INET: The Internet, The Global Frontiers</u> , Vol. 1/3, pp 9, (6/8/97),1-9  |                |
|                    |                      | GOULDE, MICHAEL A., "Network Caching Guide Optimizing Web Content Delivery", <u>Patricia Seybold Group, E-Business Thought Leaders</u> , Boston, MA, (3/99),1-42  |                |
|                    |                      | INKTOMI CORPORATION, "Streaming Media Caching Brief", <u>Inktomi Corporation available at</u><br><a href="http://www.inktomi.com/products/traffic/tech/streaming.html">http://www.inktomi.com/products/traffic/tech/streaming.html</a> , (6/10/99),3            |                |
|                    |                      | PCT INTERNATIONAL SEARCH REPORT, "International Search Report", <u>PCT/US 00/11145</u> , (4/25/00),5  |                |
|                    |                      | PERKINS, COLIN, et al., "A Survey of Packet Loss Recovery Techniques for Streaming Audio", <u>The Magazine of Computer Communications</u> , IEEE, Inc. New York, US, Vol 12, No. 5, XP000875014 pp 40-48, (9/98),9  |                |
|                    |                      | REAL RBN, "Real Broadcast Network White Paper", <u>Introducing the First Distributed Multi-Tier Broadcast Architecture for the Internet</u> , (4/19/99),9   |                |
|                    |                      | REALPROXY, "RealProxy Cache", <u>Real Networks; available at</u><br><a href="http://www.real.com/solutions/update/realproxy_datasheet.html">http://www.real.com/solutions/update/realproxy_datasheet.html</a> , (6/10/99),3                                     |                |
|                    |                      | REALPROXY, "Save on Bandwidth Costs While Maximizing Playback Quality to Consumers", <u>Real Networks available at</u>  |                |

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached